

ASSOCIAZIONE ALESSANDRO LIBERATI  
NETWORK ITALIANO COCHRANE



# Sistemi sanitari e innovazione

Dalla ricerca (utile) alla sostenibilità dei cambiamenti

## DALLA RICERCA ALLA SCELTA E INTRODUZIONE DELLE INNOVAZIONI: INIZIATIVE EUROPEE DI HTA

Luciana Ballini

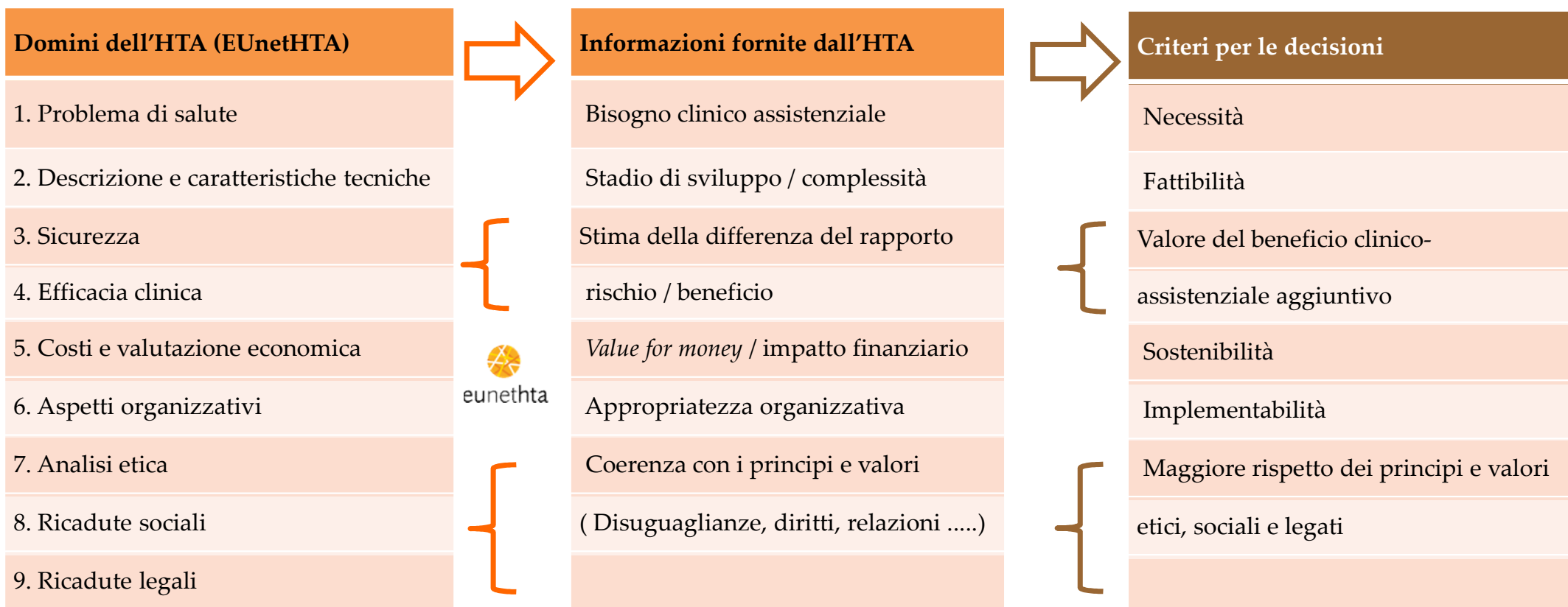
REGGIO EMILIA | 26 MAGGIO 2017

# Sommario

- **Determinanti di efficacia dell'HTA**
- **Iniziative per una cooperazione europea in HTA**
  - **Normativa per HTA**
  - **Collaborazione scientifica in HTA**
- **HTA e ricerca**
- **Conclusioni**

# Health Technology Assessment (HTA)

Processo multidisciplinare di sintesi dell'informazione – raccolta in maniera sistematica, trasparente e scientificamente robusta - relativa alle questioni cliniche, sociali economiche ed etiche legate all'utilizzo di una tecnologia sanitaria.



**Dalla ricerca alle decisioni**

# Determinanti di efficacia dell'HTA

## Determinanti tecnico-scientifici

**Qualità, trasparenza e indipendenza della valutazione**

**Tempestività della valutazione**

**Disponibilità delle informazioni scientifiche**

## Determinanti normativi

**Mandato istituzionale e ruolo nel processo decisionale**

**Capacità di informare le decisioni**

**Trasparenza del processo decisionale**



# HTA in Europa – il finanziamento

- EUR-ASSESS – 1994-1997
- HTA Europe - 1997-1998
- ECHTA/ECAHI – 1999 -2001

## EUR-ASSESS Project Subgroup Report on Methodology

Methodological Guidance for the Conduct of Health Technology Assessment

by Alessandro Liberati, Trevor A Sheldon, Henry David Banta, Bengt Brorssen, Frederick Fleurette, Torben Jorgensen, Albert Jovell, James P. Kahan, Harri Sintonen, Gabriel ten Velden, Gert-Jan van der Wilt

- 2004** The European Commission and the Council of Ministers target Health Technology Assessment (HTA) as “**a political priority**”, recognising “(...) **an urgent need for establishing a sustainable European network on HTA**”
- 2005** Call for project proposal answered by a group of **35** organisations throughout Europe
- 2006** EUnetHTA **Project** (2006-2008)
- 2009** EUnetHTA **Collaboration** (2009)
- 2010** EUnetHTA **Joint Action 1** (2010-2012)
- 2012** EUnetHTA **Joint Action 2** (2012-2015)



> 50 ml €



**2006-2008**  
EUnetHTA  
Project

**2009**  
EUnetHTA  
Collaboration

**2010-2012**  
EUnetHTA  
JA1

**2012-2015**  
EUnetHTA  
JA2

**2016-2020**  
EUnetHTA  
JA3

# HTA in Europa – la normativa

## Key issues addressed by the Directive



### *Directive 2011/24/EU of patients' rights in cross-border healthcare*



- Right to choose and be reimbursed for healthcare provided by public or private providers located in the EU
- More transparency about their rights, treatment options or , the quality and safety levels of healthcare providers
- Strong focus on cooperation among Member States
  - Mutual recognition of prescription
  - eHealth
  - Health Technology Assessment
  - **European Reference Networks**

#### Article 15

#### Cooperation on health technology assessment

1. The Union shall support and facilitate cooperation and the exchange of scientific information among Member States within a voluntary network connecting national authorities or bodies responsible for health technology assessment designated by the Member States.



## EU Objectives in HTA Article 15 Directive 2011/24:

- *Support cooperation between national HTA Authorities*
- *Support MS in the provision of objective, reliable, timely, transparent , comparable and transferable information [...] to enable effective exchange of information*
- *Avoid duplication of assessments*

# Article 15 Health Technology Assessment Network



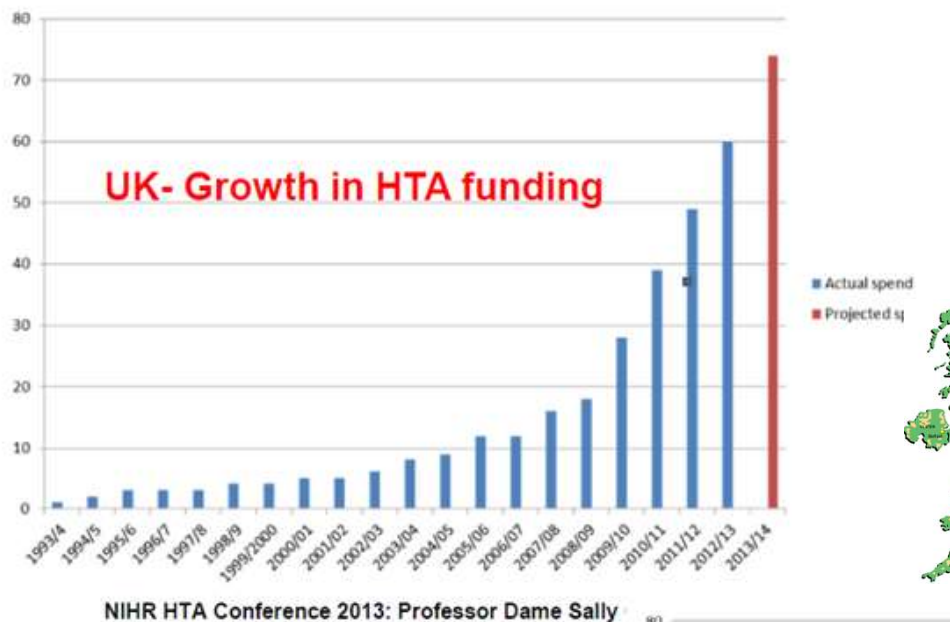
*Presidenza del Consiglio dei Ministri*

CONFERENZA PERMANENTE PER I RAPPORTI  
TRA LO STATO, LE REGIONI E LE PROVINCE AUTONOME  
DI TRENTO E DI BOLZANO

## Patto per la Salute per gli anni 2014-2016

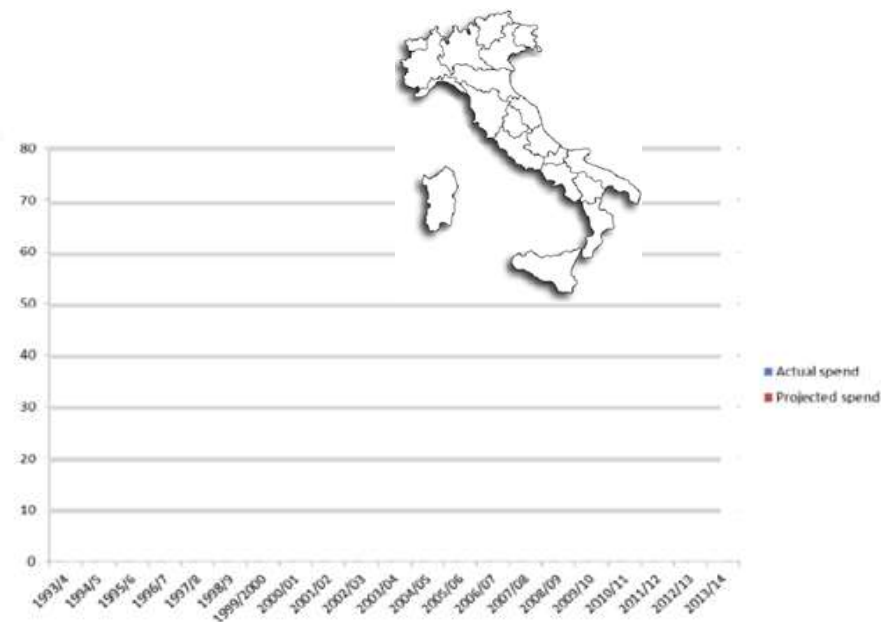
Articolo 26

Creazione di un modello istituzionale di HTA dei dispositivi medici



Articolo 27

Valutazione nazionale dei medicinali secondo la metodologia dell'Health Technology Assessment





# HTA in Europa – la normativa



## EU initiative on HTA

### Policy options\*

Option 1	Option 2	Option 3	Option 4	Option 5
Status quo – voluntary cooperation	Long-term voluntary cooperation (beyond 2020)	Cooperation through the collection, sharing and use of common tools and data	Cooperation on production of joint REA (relative effectiveness assessments) reports	Cooperation on production of joint Full HTA reports (REA+ economic)
Non-legislative / voluntary		Legislative / voluntary + mandatory		

+ Issues to be addressed



\*Inception Impact assessment available at: [http://ec.europa.eu/smart-regulation/roadmaps/docs/2016\\_sarte\\_144\\_health\\_technology\\_assessments\\_en.pdf](http://ec.europa.eu/smart-regulation/roadmaps/docs/2016_sarte_144_health_technology_assessments_en.pdf)

## EU Cooperation in HTA – where we are

- **HTA Network** (art 15 Directive 2011/24)– **strategic level** (set up October 2013) → MS representatives (mainly MoH); EMA associated
- **EUnetHTA** (Joint Action HP)– **scientific level** – ongoing until October 2015 → HTA doers (mainly HTA Agencies)
- Two levels in synergy and complementary
- Involvement of stakeholders - both @ strategic level and scientific level



# HTA in Europa – la collaborazione scientifica

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EUnetHTA Partners and Associates



80 Partner organisations designated by Ministries of Health

Large number of regional agencies and non-for-profit organisations that produce or contribute to HTA



Luciana Ballini

# HTA in Europa – la collaborazione scientifica

## Gli obiettivi

### Efficiency

**Timely information**

**Less duplication**

**Re-use and local adaptation**

### Quality

**Shared methodology**

**Quality Management System**

**New/emerging methodological challenges**

### Availability of evidence

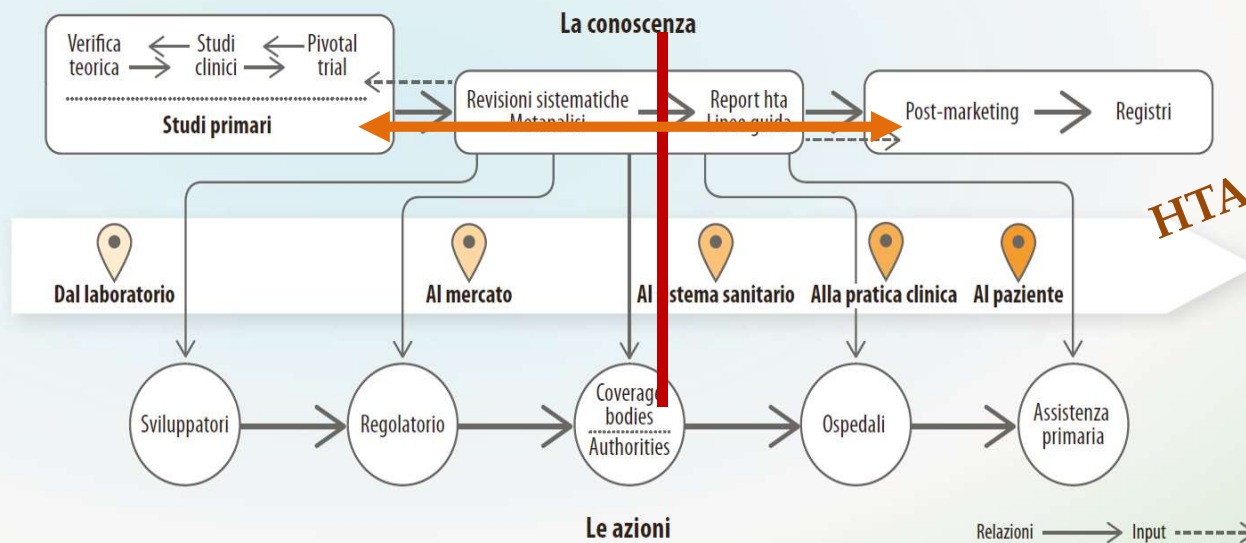
**Communication of evidence needs**

**Dealing with research gaps**

# Availability of Scientific Information



Cooperazione europea nella valutazione delle tecnologie sanitarie  
Dal laboratorio al paziente



*HTA - Gatekeeper delle innovazioni*

*HTA - Promotore di ricerca utile*

# Scoping: the assessment question

Scoping	Assessment questions
Population	Who will use / be treated
Intervention	Which technology or procedure is under assessment
Comparator	Which are the best available alternatives
Outcomes	How are benefits and harms be measured
Time	Over what time period
Studies	Which study design is used



Communicating research /  
evidence needs

**IMPROVING INITIAL  
EVIDENCE GENERATION  
FOR HEALTH TECHNOLOGIES**

Dealing with research /  
evidence gaps

**IMPROVING ADDITIONAL  
EVIDENCE GENERATION  
FOR HEALTH TECHNOLOGIES**

# Additional Evidence Generation

**Evidence gaps** are often identified at the time of an **initial Health Technology Assessment** for new technologies. In order to reduce uncertainty, HTA bodies can make recommendations/requests for **Additional Evidence Generation (AEG)**, which may include trials, observational studies, registries, data on appropriateness of use, etc.

Work Package 7 / Subgroup 2

## Objectives

- ▶ Help HTA doers define more specific research recommendations, that may better serve decision maker and researcher needs, by addressing the following two questions (**two EUnetHTA position papers**):
  - how to formulate a **research question**;
  - how to decide on the appropriate **study design**.
- ▶ Reduce multiple uncoordinated study requests and favor the collection of more robust data through a **pilot of a common core study protocol**.

EUnetHTA JA2  
WP7 DELIVERABLE

Position paper  
on how to best formulate  
research recommendations  
for primary research  
arising from HTA reports



EUnetHTA JA2  
WP7 DELIVERABLE

Position paper  
on how to decide  
on the appropriate  
study design  
for primary research  
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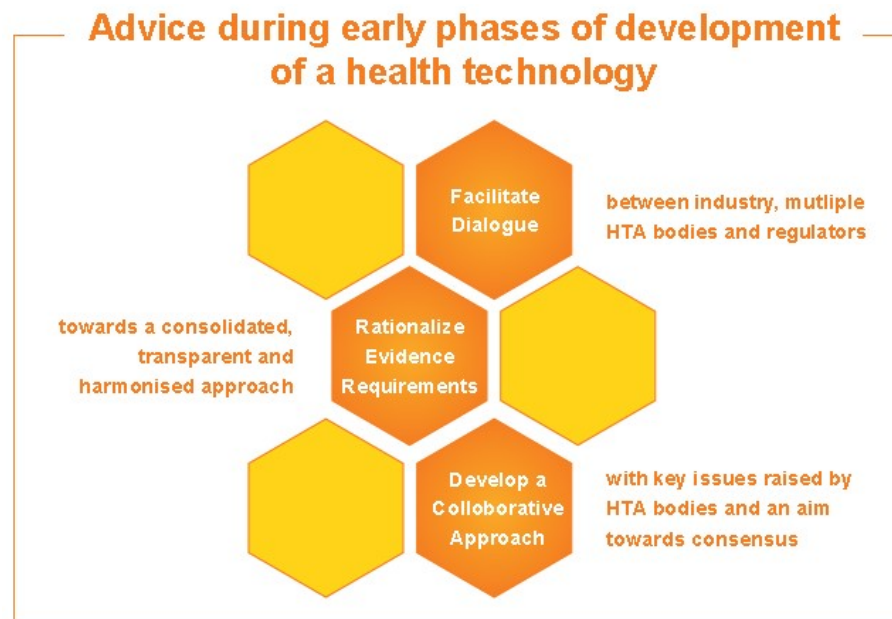
# Multi-HTA Early Dialogues



eunetha

## Objectives

The Early Dialogue (ED) overarching aim is to provide prospective, transparent and timely advice by HTA bodies to product sponsors so that they may integrate specific HTA needs in the product development.



**to reduce the risk of producing data, that would be inappropriate for HTA purposes and inadequate to support the company's future reimbursement request.**



# Multi-HTA Early Dialogues

## Scope

Pilot EDs may be requested for a new technology, with supposed added benefit for patients, during the initial phase of its clinical development (e.g. end of phase II) to address questions pertaining to relative effectiveness and cost effectiveness.

Pilot EDs are: ■ prospective in nature ■ focused on development strategies and not on pre-evaluation of available data ■ limited to one indication and/or one line of treatment ■ non-binding ■ confidential ■ free of charge.



**EDs allow companies developing health products to meet with European HTA agencies in order to present their development plan for the product in question and to ask specific questions relative to their plan**

# Multi-HTA Early Dialogues



Main topics addressed during ED	
Population	Who will be enrolled
Intervention	How will the intervention be delivered
Comparator	Which alternatives will be chosen
Outcomes	Which benefits and harms will be measured and how
Time	Over what time period
Studies	Which study design and statistical analyses will be used

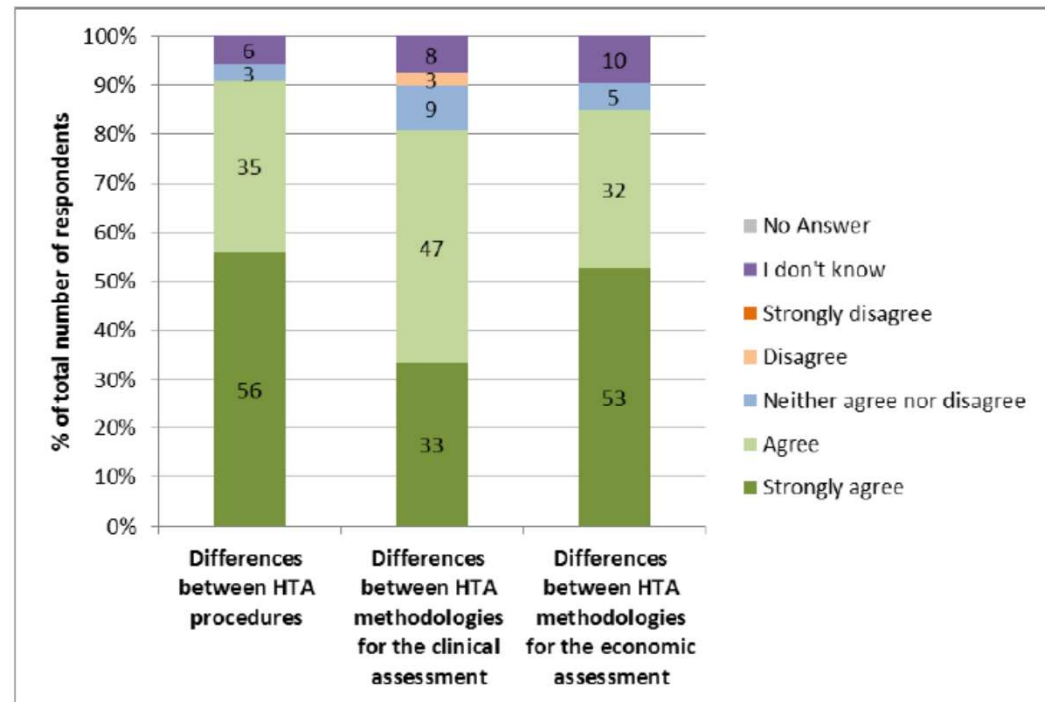


Fig. 12. Overview of the opinions expressed by administrations, organisations and associations on the existence of differences in HTA processes and methodologies across EU

# Multi-HTA Early Dialogues



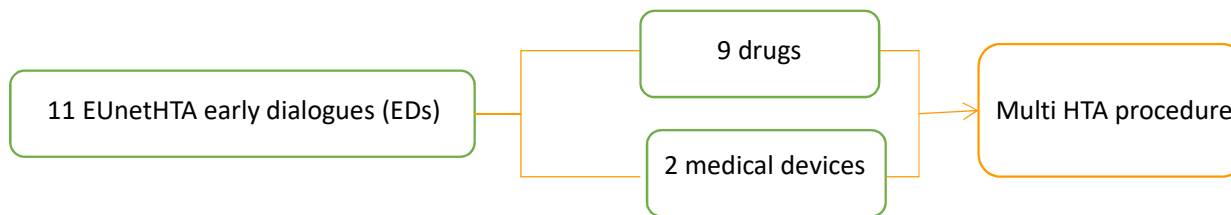
## Some expected results

- Effort to reach consensus in compiling HTA answers
- Transparency of assessment process
- Quality of Scientific Advice
- Reduce unexplained variations in data requirements
- Effectiveness and efficiency of product development process
- Timeliness of access to effective innovation
- ✓ Pursue common scientific basis among HTA agencies

# Multi-HTA Early Dialogues



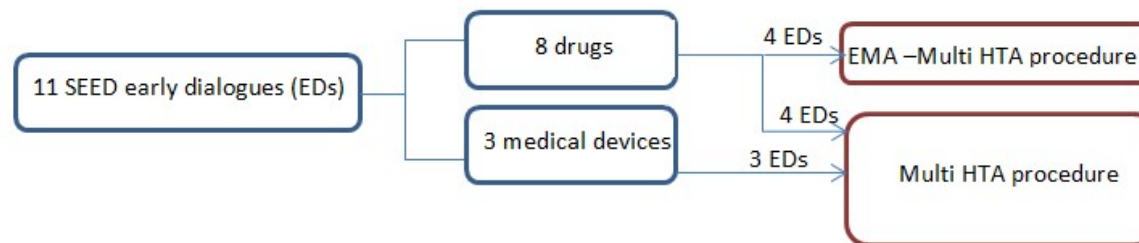
*EUnetHTA Partners: 8 countries - HAS (France), HVB (Austria), KCE and INAMI (Belgium), RER-ASSR and AIFA (Italy), G-BA and IQWiG (Germany), GYEMSZI (Hungary), ZIN (Netherlands), TLV (Sweden).*



*SEED Partners: HAS (France), HVB (Austria), KCE (Belgium), HIQA (Ireland), RER-ASSR and AIFA (Italy), G-BA and IQWiG (Germany), GYEMSZI (Hungary), ZIN (Netherlands), AVALIA-T, ISCIII and AETSA (Spain), NICE (UK)*



- Therapeutic domains covered: Rheumatoid Arthritis, Haematology, Neurolog, Gynaecology and Dermatology.



# Multi-HTA Early Dialogues + JA3

## Some challenges ahead

- From a EU sponsored model to a self-sustainable model.
- Choice of participating HTA bodies
- Relatives roles of national vs international
- Code of conduct
- Production of disease specific guidelines when necessary
- Adaptive pathways pilots: combines two approaches:  
Early Dialogues + Additional evidence generation

Francois Meyer (HAS)

Fees ?

Up to industry?

Regulatory capture?  
Confidentiality?

Prioritization?

Early Dialogue for timely access  
And

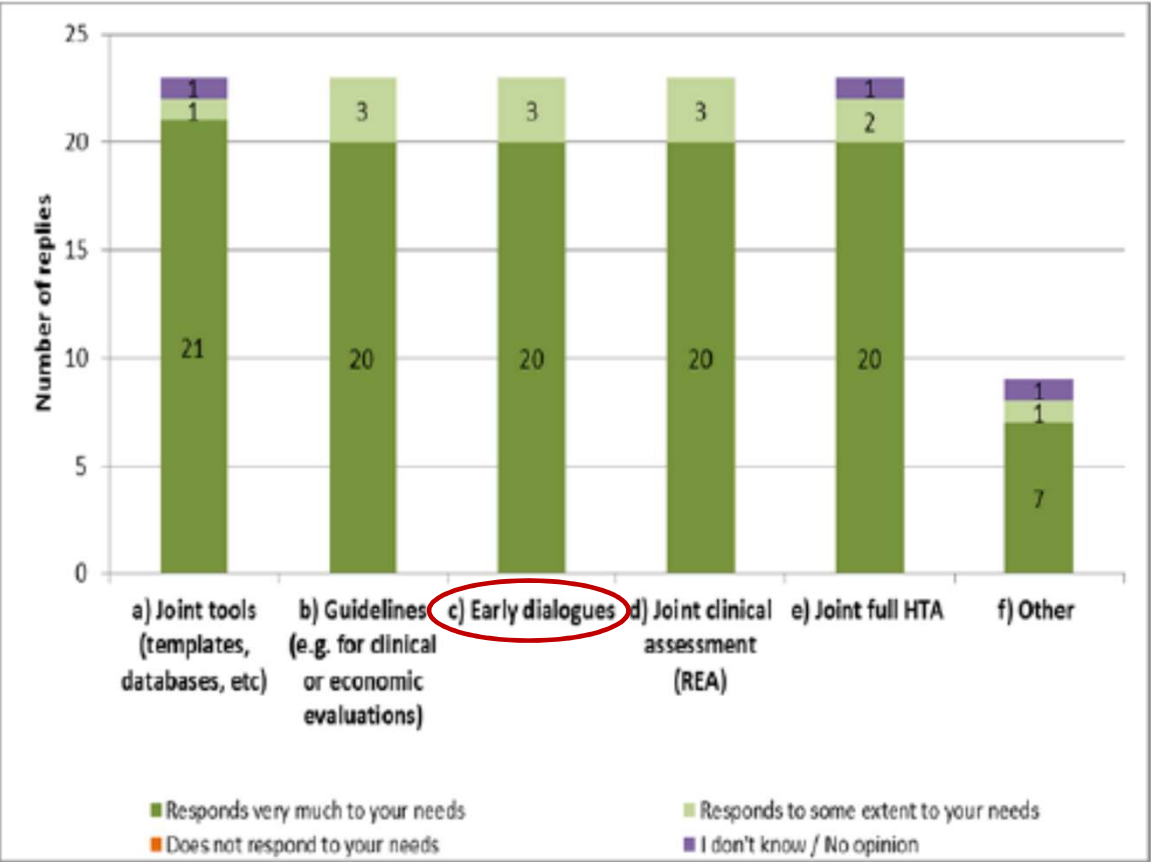
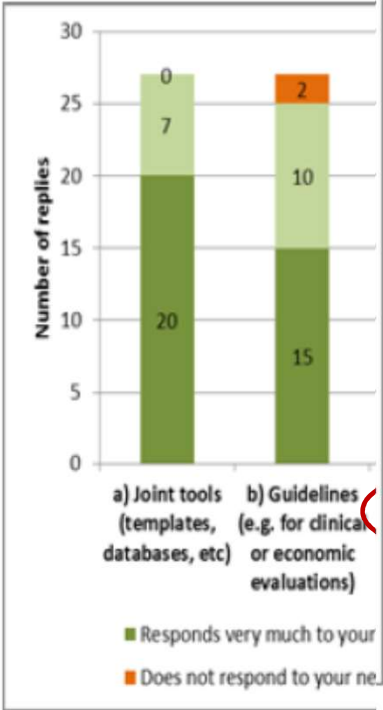
Early Dialogue for timely «stop»?

# EU cooperation beyond 2020

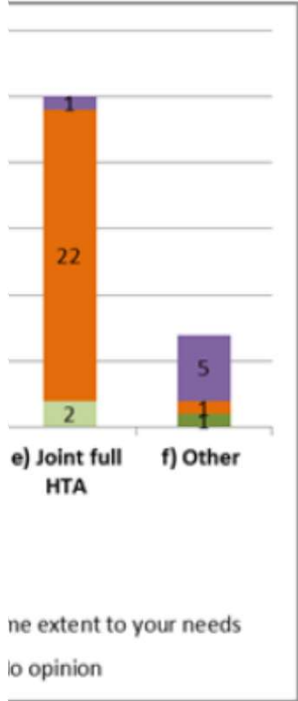
## b) Patients and consumers

### Different needs

#### Public



#### ry







## Policy Objectives of the HTA initiative

### *GENERAL OBJECTIVES:*

- 1. Ensure a better functioning of the internal market;*
- 2. Contribute to a high level of human health protection.*

### *SPECIFIC OBJECTIVES:*

- 1. Reduce discrepancies of procedures and methodologies;*
- 2. Reduce duplication of efforts;*
- 3. Ensure the uptake of joint work in Member States; and*
- 4. Ensure the long-term sustainability of EU HTA cooperation.*

6







**Disease specific guidelines:  
extension of scope of Early Dialogue to guidelines on technology development**

**La conoscenza**



**DAI sistema sanitario**

**DALLa pratica clinica**

**DAI paziente**



**al laboratorio**

Grazie!